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**TO:** Patent Technology Center 3620

**FAX NO.:** 703-305-7687

**COMPANY:** U.S. Patent & Trademark Office

**PHONE NO.:**

**FROM:** Aaron R. Wininger

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**E-MAIL:** awininger@ssd.com

**RE:** Petition Under 37 CFR §1.59(b) to Expunge Information for  
U.S. Patent Application

**Entitled:** "USER INTERFACE FOR AN ELECTRONIC TRADING SYSTEM"

**Serial No.:** 09/289,550

**Filed:** April 9, 1999

**Our Ref:** 36992.00106 (f/k/a 3854)

**Message:**

Please see attached Petition Under 37 C.F.R. §1.59(b) to Expunge Information Unintentionally Submitted in an Application which we are submitting via facsimile as of this date. If you have any questions or need additional documentation, please contact Aaron Wininger at the number above. Thank you.

**CONFIDENTIALITY NOTICE:**

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Practitioner's Docket No. 36992.00106 (f/k/a 3854)

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Richard W. Friesen, et al.  
Application No.: 09 / 289,550 Group No.: 3624  
Filed: April 9, 1999 Examiner: Charles R. Kyle  
For: USER INTERFACE FOR AN ELECTRONIC TRADING SYSTEM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PETITION UNDER 37 C.F.R. § 1.59(b) TO EXPUNGE INFORMATION  
UNINTENTIONALLY SUBMITTED IN APPLICATION  
(M.P.E.P. § 724.05, II, 7th Ed.)

NOTE: A petition to expunge information unintentionally submitted in an application (other than information forming part of the original disclosure) may be filed under 37 CFR 1.59(b). A request to return information that has not been clearly identified as information that may be later subject to such a request by marking and placement in a separate sealed envelope or container shall be treated on a case-by-case basis. M.P.E.P. § 724.05, II, 7th Ed.

NOTE: Unidentified information that is a trade secret, proprietary, or subject to a protective order that is submitted in an Information Disclosure Statement may inadvertently be placed in an Office prior art search file by the examiner due to the lack of such identification and may not be retrievable. M.P.E.P. § 724.05, II, 7th Ed.

1. Applicant respectfully requests that the information submitted on September 29, 2003 entitled as Amendment and Response, and dealing with a response to an office action dated May 7, 2003 be expunged and returned, since it was unintentionally submitted.
2. The above identified information submitted was unintentionally submitted and the failure to obtain its return would cause irreparable harm to :
  - ☐ the party who submitted the information
  - ☒ the party in interest on whose behalf the information was submitted

## CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

## MAILING

☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

## FACSIMILE

☒ transmitted by facsimile to the Patent and Trademark Office.

Date: 11/3/03

Signature

Aaron Wininger, Reg.No. 45,229

(type or print name of person certifying)

(Petition under 37 C.F.R. § 1.59(b) to Expunge Information Unintentionally Submitted in Application [9-56])

—page 1 of 2)

3. The above identified information has not otherwise been made public.
4. Petitioner commits to retain the above identified information for the period of any patent with regard to which this information was submitted.
5. Petitioner submits that the above identified information which is requested to be returned is not material information under 37 C.F.R. § 1.56.
6. Fee

The fee required by 37 C.F.R. § 1.17(i) is to be paid as follows:

- ☐ Enclosed is a check for \$130.00.
- ☒ Charge Account 05-0150 for any fee deficiency.
- ☒ Charge Account 05-0150 the sum of \$130.00.

Reg. No.: 45,229

Tel. No.: ( 650 ) 843-3375

Customer No.: 30256

  
SIGNATURE OF PRACTITIONER

Aaron Wininger

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(Petition under 37 C.F.R. § 1.59(b) to Expunge Information Unintentionally Submitted in Application [9-56]  
—page 2 of 2)